

# Generic Storage in Haskell

WGP 2010

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September 26, 2010

# Motivation

- ▶ Functional programmers naturally use data structures (such as finite maps) to maintain program data.
- ▶ Normal data structures are not persistent – at the end of a program session, all data is lost.
- ▶ Even if we serialize the whole data structure, we have to read/write the entire data structure at once and hold everything in memory in between.
- ▶ We could use a database, but then we have to convert between the Haskell data structure and the database's data model.

# This talk

A generic framework for library writers to define persistent functional data structures.

## Outline

- ▶ Datatypes as fixed points.
- ▶ Annotations and effects.
- ▶ Lifting operations to the annotated setting.
- ▶ A file-based storage heap.
- ▶ Persistent data structures.

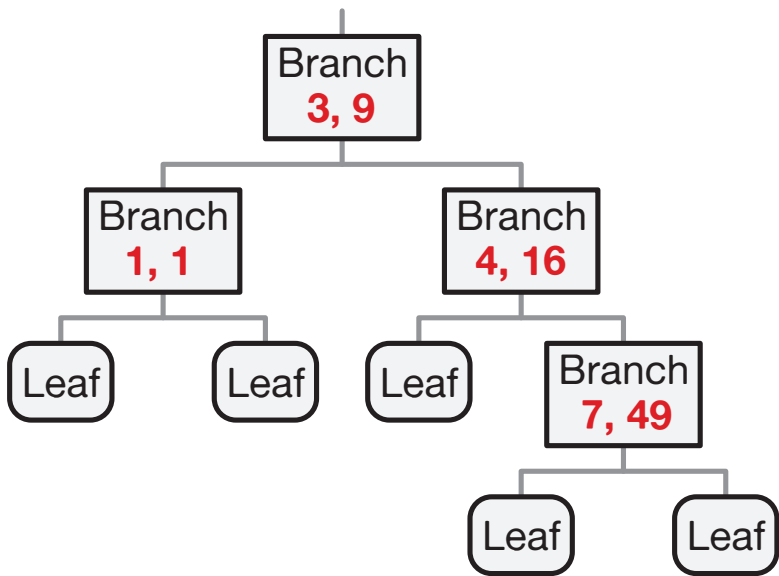
Fixed points

# Finite maps as binary trees

Similar to Haskell's `Data.Map` library:

```
data Tree k v = Leaf  
              | Branch k v (Tree k v)  
                          (Tree k v)
```





## Making the recursive structure explicit

```
data Tree k v = Leaf  
              | Branch k v (Tree k v)  
                        (Tree k v)
```



# Making the recursive structure explicit

```
data Treef k v r = Leaf  
                  | Branch k v r  
                  r  
deriving Functor
```

# Making the recursive structure explicit

```
data TreeF k v r = Leaf
                  | Branch k v r
                               r

deriving Functor

newtype  $\mu$  f = In {out :: f ( $\mu$  f)}

type Tree k v =  $\mu$  (TreeF k v)
```



## Making the recursive structure explicit

```
data Treef k v r = Leaf
                  | Branch k v r
                    r
```

deriving Functor

```
newtype  $\mu$  f = In {out :: f ( $\mu$  f)}
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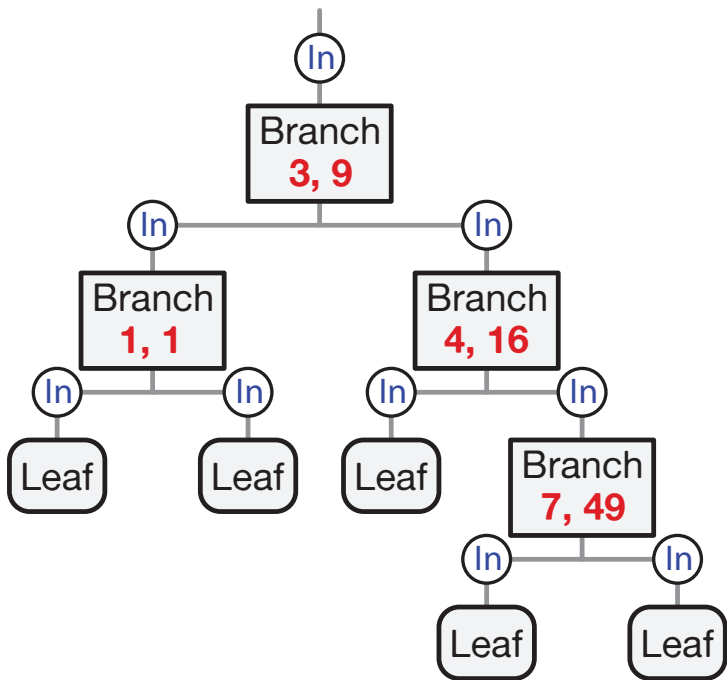
```
type Tree k v =  $\mu$  (Treef k v)
```

```
myTreef :: Tree Int Int
```

```
myTreef = branch 3 9 (branch 1 1 leaf
                       leaf)
              (branch 4 16 (branch 7 49 leaf
                                  leaf)
                leaf)
```

```
leaf          = In Leaf
```

```
branch k v l r = In (Branch k v l r)
```



# Annotations

# Annotated fixed points

“Normal” fixed point:

```
newtype  $\mu$  f = ln { out :: f ( $\mu$  f) }
```

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**newtype**  $\mu$  f = **ln** {out :: f ( $\mu$  f)}

Annotated fixed point:

**type**  $\mu_\alpha$   $\alpha$  f =  $\mu$  ( $\alpha$  f)



# Annotated fixed points

“Normal” fixed point:

**newtype**  $\mu$  f = **ln** {out :: f ( $\mu$  f)}

Annotated fixed point:

**type**  $\mu_\alpha$   $\alpha$  f =  $\mu$  ( $\alpha$  f)

Identity annotation:

**newtype** **ld** f a = **ld** {unld :: f a}

# Effectful annotations

We use annotations to attach effects to the folding and unfolding of the fixed-point combinator:

```
class Monad m  $\Rightarrow$  In  $\alpha$  f m where
```

```
  in $_{\alpha}$  :: f ( $\mu_{\alpha}$   $\alpha$  f)  $\rightarrow$  m (  $\mu_{\alpha}$   $\alpha$  f)
```

```
class Monad m  $\Rightarrow$  Out  $\alpha$  f m where
```

```
  out $_{\alpha}$  ::  $\mu_{\alpha}$   $\alpha$  f  $\rightarrow$  m (f ( $\mu_{\alpha}$   $\alpha$  f))
```

# Effectful annotations

We use annotations to attach effects to the folding and unfolding of the fixed-point combinator:

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class Monad m  $\Rightarrow$  In  $\alpha$  f m where  
  in $_{\alpha}$  :: f ( $\mu_{\alpha}$   $\alpha$  f)  $\rightarrow$  m (  $\mu_{\alpha}$   $\alpha$  f )  
class Monad m  $\Rightarrow$  Out  $\alpha$  f m where  
  out $_{\alpha}$  ::  $\mu_{\alpha}$   $\alpha$  f  $\rightarrow$  m (f ( $\mu_{\alpha}$   $\alpha$  f))
```

The identity annotation has no effect:

```
instance In Id f Identity where  
  in $_{\alpha}$  = return  $\circ$  In  $\circ$  Id  
instance Out Id f Identity where  
  out $_{\alpha}$  = return  $\circ$  unld  $\circ$  out
```

# Debug trace annotation

Same type as the identity annotation:

```
newtype Debug f a = D {unD :: f a}
```

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newtype Debug f a = D {unD :: f a}
```

This time, we attach an IO effect:

```
instance (Functor f, Show (f ())) => In Debug f IO where  
  inα f = print ("In" , units f) >> return (In (D f))  
instance (Functor f, Show (f ())) => Out Debug f IO where  
  outα (In (D f)) = print ("Out", units f) >> return f
```

The function `units` instantiates the recursive positions with units:

```
units :: Functor f => f a -> f ()  
units = fmap (const ())
```

# Building an annotated tree

Annotated binary trees:

```
type Treeα α k v = μα α (TreeF k v)
```

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Annotated binary trees:

```
type Treeα α k v = μα α (TreeF k v)
```

Monadic, but polymorphic in the annotation:

```
myTreeα :: In α (TreeF Int Int) m ⇒ m (Treeα α Int Int)
```

```
myTreeα =
```

```
  do l ← leafα
```

```
     d ← branchα 7 49 l l
```

```
     e ← branchα 1 1 l l
```

```
     f ← branchα 4 16 d l
```

```
     branchα 3 9 e f
```

```
leafα           = inα Leaf
```

```
branchα k v l r = inα (Branch k v l r)
```

## Specializing to a particular annotation

```
myTreeD :: IO (Treeα Debug Int Int)
myTreeD = myTreeα
```

```
ghci> myTree_D
("in",Leaf)
("in",Branch 7 49 () ())
("in",Branch 1 1 () ())
("in",Branch 4 16 () ())
("in",Branch 3 9 () ())
{D (Branch 3 9 {D (Branch 1 1 {D Leaf} ...
```



# Operations

# Manipulating annotated trees

- ▶ Writing operations on annotated structures requires adding and removing annotations.
- ▶ If we do not pay attention, all the code becomes monadic and cluttered with maintaining the annotations.
- ▶ We therefore try to lift the recursion patterns, not the operations themselves.

# Catamorphism

**type Algebra** f r = f r → r

**cata** :: Functor f ⇒ Algebra f r → μ f → r

**cata** φ = φ ∘ fmap (cata φ) ∘ out

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**lookup<sub>ALG</sub>** :: Ord k ⇒ k → Algebra (Tree<sub>F</sub> k v) (Maybe v)

**lookup<sub>ALG</sub>** k Leaf = Nothing

**lookup<sub>ALG</sub>** k (Branch n x l r) = case k 'compare' n of

LT → l

EQ → Just x

GT → r

**lookup** k = cata (lookup<sub>ALG</sub> k)

# Catamorphism

**type Algebra** f r = f r → r

**cata** :: Functor f ⇒ **Algebra** f r →  $\mu$  f → r

**cata**  $\phi$  =  $\phi \circ \text{fmap} (\text{cata } \phi) \circ \text{out}$

**lookup<sub>ALG</sub>** :: Ord k ⇒ k → **Algebra** (Tree<sub>f</sub> k v) (Maybe v)

**lookup<sub>ALG</sub>** k Leaf = Nothing

**lookup<sub>ALG</sub>** k (Branch n x l r) = **case** k 'compare' n **of**

LT → l

EQ → Just x

GT → r

**lookup** k = **cata** (**lookup<sub>ALG</sub>** k)

Example:

**lookup** 4

**myTree<sub>f</sub>**

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**type Algebra** f r = f r → r

**cata** :: Functor f ⇒ Algebra f r → μ f → r

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**lookup** k = cata (lookup<sub>ALG</sub> k)

Example:

**lookup<sub>ALG</sub>** 4 (fmap (lookup 4) (out myTree<sub>f</sub>))

# Catamorphism

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Example:

**lookup<sub>ALG</sub>** 4 (fmap (lookup 4) (Branch (3 9 ... ...)))

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**lookup** k = cata (lookup<sub>ALG</sub> k)

Example:

**lookup<sub>ALG</sub>** 4

(Branch (3 9 Nothing (Just 16)))



# Catamorphism

**type Algebra** f r = f r → r

**cata** :: Functor f ⇒ Algebra f r → μ f → r

**cata** φ = φ ∘ fmap (cata φ) ∘ out

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**lookup<sub>ALG</sub>** k Leaf = Nothing

**lookup<sub>ALG</sub>** k (Branch n x l r) = case k 'compare' n of

LT → l

EQ → Just x

GT → r

**lookup** k = cata (lookup<sub>ALG</sub> k)

Example:

# Catamorphism with annotations

`cata` :: Functor `f`  $\Rightarrow$   
          Algebra `f r`  $\rightarrow$   $\mu$  `f`  $\rightarrow$  `r`  
`cata`  $\phi$  =  $\phi \circ \text{fmap}$  (`cata`  $\phi$ )  $\circ$  `out`

# Catamorphism with annotations

$\text{cata}_\alpha :: (\text{Out } \alpha \text{ f m}, \text{Traversable f}) \Rightarrow$   
     $\text{Algebra f r} \rightarrow \mu_\alpha \alpha \text{ f} \rightarrow \text{m r}$   
 $\text{cata}_\alpha \phi = \text{return} \circ \phi \triangleleft \text{mapM} (\text{cata}_\alpha \phi) \triangleleft \text{out}_\alpha$

$(\triangleleft) :: \text{Monad m} \Rightarrow (\text{b} \rightarrow \text{m c}) \rightarrow (\text{a} \rightarrow \text{m b}) \rightarrow \text{a} \rightarrow \text{m c}$   
 $\text{mapM} :: (\text{Traversable t}, \text{Monad m}) \Rightarrow (\text{a} \rightarrow \text{m b}) \rightarrow \text{t a} \rightarrow \text{m (t b)}$

Note that the type of algebras is unchanged!

# Lookup with annotations

Same as before:

```
lookupALG :: Ord k => k -> Algebra (TreeF k v) (Maybe v)
lookupALG k Leaf = Nothing
lookupALG k (Branch n x l r) = case k 'compare' n of
    LT -> l
    EQ -> Just x
    GT -> r
```

Lookup now using `cataα`:

```
lookupα :: (Ord k, Out α (TreeF k v) m, Traversable (TreeF k v)) =>
    k -> μα α (TreeF k v) -> m (Maybe v)
lookupα k = cataα (lookupALG k)
```

# Building trees

The function `fromSortedList` is an anamorphism:

```
type Coalgebra f s = s → f s
```

```
anaα :: (In α f m, Monad m, Traversable f) ⇒  
        Coalgebra f s → s → m (μα α f)
```

```
anaα ψ = inα < mapM (anaα ψ) < return ∘ ψ
```

# Building trees

The function `fromSortedList` is an anamorphism:

```
type Coalgebra f s = s → f s
anaα :: (In α f m, Monad m, Traversable f) ⇒
        Coalgebra f s → s → m (μα α f)
anaα ψ = inα < mapM (anaα ψ) < return ∘ ψ
```

```
fromSortedList = anaα fromSortedListALG
```

Again, `fromSortedListALG` is annotation-agnostic:

```
fromSortedListALG :: Coalgebra (TreeF k v) [(k, v)]
fromSortedListALG [] = Leaf
fromSortedListALG xs =
  let (l, (k, v) : r) = splitAt (length xs `div` 2 - 1) xs
  in Branch k v l r
```

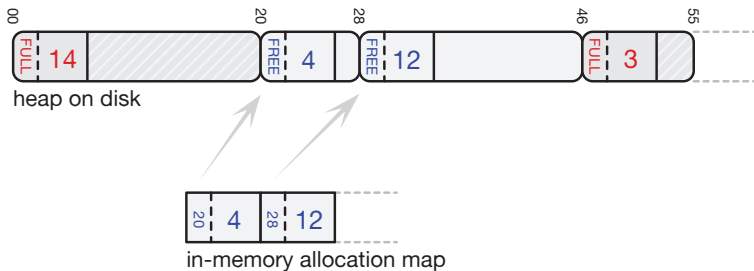
Heap

# File-based storage heap

Linear list of blocks of binary data. Each block contains

- ▶ a used/free flag,
- ▶ a size,
- ▶ the payload as binary stream.

An in-memory allocation map is used for administration.





# Heap interface

Most important operations:

`read` :: `Binary a`  $\Rightarrow$  `Pointer a`  $\rightarrow$  `Heap a`

`write` :: `Binary a`  $\Rightarrow$  `a`  $\rightarrow$  `Heap (Pointer a)`

Running a heap computation:

`run` :: `FilePath`  $\rightarrow$  `Heap a`  $\rightarrow$  `IO a`

Persistence

# Pointer annotation

**newtype**  $\text{Ptr } f \ a = \text{P } \{ \text{unP} :: \text{Pointer } (f \ a) \}$

The associated effect is accessing the heap:

**instance**  $(\text{Binary } (f \ (\mu_\alpha \ \text{Ptr } f))) \Rightarrow \text{Out } \text{Ptr } f \ \text{Heap}$  **where**  
 $\text{out}_\alpha = \text{read} \triangleleft \text{return} \circ \text{unP} \circ \text{out}$

**instance**  $(\text{Binary } (f \ (\mu_\alpha \ \text{Ptr } f))) \Rightarrow \text{In } \ \text{Ptr } f \ \text{Heap}$  **where**  
 $\text{in}_\alpha = \text{return} \circ \text{In} \circ \text{P} \triangleleft \text{write}$

# Persistent operations

We specialize to the pointer annotation:

```
type TreeP k v =  $\mu_{\alpha}$  Ptr (TreeF k v)
```

```
fromSortedListP :: [(Int, Int)] → Heap (TreeP Int Int)
```

```
fromSortedListP = fromSortedList
```

# Persistent operations

We specialize to the pointer annotation:

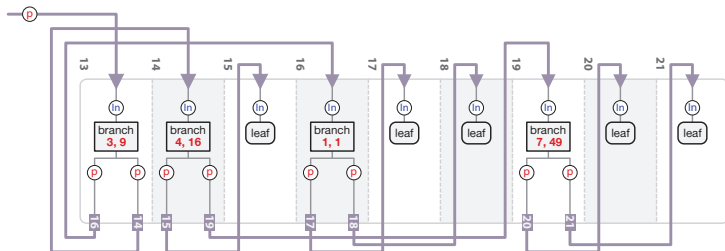
**type** `TreeP k v` =  $\mu_{\alpha}$  `Ptr (TreeF k v)`

`fromSortedListP` :: [(Int, Int)] → `Heap (TreeP Int Int)`

`fromSortedListP` = `fromSortedList`

Example:

`fromSortedListP` [(1, 1), (3, 9), (4, 16), (7, 49)]



# Using the system 1

BuildSquareDB.hs

```
main =  
  do run "squares.db" $  
    do p ← fromSortedListp (map (λa → (a, a * a)) [1..10])  
      storeRootPtr (p :: Treep Int Int)  
      putStrLn "Database created."
```

```
storeRootPtr ::  $\mu_{\alpha}$  Ptr f → Heap ()
```

## Using the system 2

LookupSquares.hs

```
main =  
  run "squares.db" $ forever $  
    do liftIO $ putStr "Give a number> "  
      num ← Prelude.read <$> liftIO getLine  
      sqr  ← fetchRootPtr >>= lookupP num  
      liftIO $ print (num :: Int, sqr :: Maybe Int)
```

```
fetchRootPtr :: Heap ( $\mu_\alpha$  Ptr f)
```

## Using the system 3

```
$ ghc --make BuildSquareDB.hs
$ ghc --make LookupSquares.hs
...
$ ./BuildSquareDB
Database created.
$ ls *.db
squares.db
$ hexdump squares.db
0000000 54 68 69 73 20 69 73 20 6a 75 73 74 20 61 20 66
0000010 61 6b 65 20 65 78 61 6d 70 6c 65 21 21 21 21 0a
...
$ ./LookupSquares
Give a number> 9
(9, Just 81)
Give a number> 12
(12, Nothing)
^C
$ _
```



In the paper and/or the thesis:

- ▶ Details about modification functions such as `insert`.
- ▶ How we deal with laziness and IO.
- ▶ How to extend the framework to higher-order fixed points (e.g., finger trees).

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- ▶ Details about modification functions such as `insert`.
- ▶ How we deal with laziness and IO.
- ▶ How to extend the framework to higher-order fixed points (e.g., finger trees).

Still to do:

- ▶ Sharing.
- ▶ Garbage collection.
- ▶ Concurrency.

# Summary

Our framework allows you to:

- ▶ Define pure Haskell data structures.
- ▶ Generically annotate operations with effects.
- ▶ Save recursive data structures to the disk.

Unfortunately, you still have to:

- ▶ Abstract away from recursion using recursion patterns.
- ▶ Use the final operations in a monadic context.

The End

# Modifying trees

The function `insert` is an apomorphism.

```
type ApoCoalgebra f s = s → f (Either s (μ f))  
apo :: Functor f ⇒ ApoCoalgebra f s → s → μ f  
apo ψ = In ∘ fmap apo' ∘ ψ  
  where apo' (Left l) = apo ψ l  
        apo' (Right r) = r
```

For every recursive position, we can decide if we want to continue with a new value, or if we want to place a tree.

# Defining insert

The function `insert` modifies a given tree:

```
insertALG :: Ord k => k -> v ->
             ApoCoalgebra (TreeF k v) (Tree k v)
insertALG k v (In Leaf) =
  Branch k v (Right (In Leaf)) (Right (In Leaf))
insertALG k v (In (Branch n x l r)) =
  case compare k n of
    LT -> Branch n x (Left l) (Right r)
    _   -> Branch n x (Right l) (Left r)
insert :: Ord k => k -> v -> Tree k v -> Tree k v
insert k v = apo (insertALG k v)
```

We have to be more explicit about what parts of the old tree can be reused.

# Partially annotated structures

```
data Partial  $\alpha$  f a = New (f a)  
                    | Old ( $\mu_\alpha$   $\alpha$  f)
```

```
type  $\mu_{\hat{\alpha}}$   $\alpha$  f =  $\mu_\alpha$  (Partial  $\alpha$ ) f
```

# Endo-apomorphisms

```
type ApoCoalgebra f s = s → f (Either s (μ f))  
apo :: Functor f ⇒ ApoCoalgebra f s → s → μ f  
apo ψ = In ∘ fmap apo' ∘ ψ  
  where apo' (Left l) = apo ψ l  
         apo' (Right r) = r
```

```
type EndoApoCoalgebraα α f =  
  f (μα α f) → f (Either (μα α f) (μα̂ α f))
```

```
endoApoα :: (OutIn α f m, Monad m, Traversable f) ⇒  
  EndoApoCoalgebraα α f → μα α f → m (μα α f)
```

```
endoApoα ψ = outInα $ mapM endoApoα' ∘ ψ  
  where endoApoα' (Left l) = endoApoα ψ l  
        endoApoα' (Right r) = topln r
```

```
topln :: (In α f m, Monad m, Traversable f) ⇒  
  μα̂ α f → m (μα α f)
```



# Defining insert

No annotations:

```
insertALG :: Ord k => k -> v -> ApoCoalgebra (TreeF k v) (Tree k v)
insertALG k v (In Leaf) =
  Branch k v (Right (In Leaf)) (Right (In Leaf))
insertALG k v (In (Branch n x l r)) =
  case compare k n of
    LT -> Branch n x (Left l) (Right r)
    _   -> Branch n x (Right l) (Left r)
```

With annotations:

```
insertALG :: Ord k => k -> v -> EndoApoCoalgebraα α (TreeF k v)
insertALG k v Leaf =
  Branch k v (make Leaf) (make Leaf)
insertALG k v (Branch n x l r) =
  case k 'compare' n of
    LT -> Branch n x (next l) (stop r)
    _   -> Branch n x (stop l) (next r)
```