

Explicit Recursion in Generic Haskell

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26th March 2003

This is joint work with Dave Clarke and Johan Jeuring.

Overview

- What is Generic Haskell?
- The difference between implicit and explicit recursion
- How explicit recursion works
- Future possibilities

Generic Haskell

- An extension to Haskell
- Allows the programmer to define generic functions (and generic datatypes), i.e. functions indexed by a type argument
- A generic function can be defined inductively over the structure of datatypes, thus working for all types in a generic way
- Generic Haskell is implemented as a preprocessor that translates generic functions into Haskell
- Translation proceeds by specialisation
- Most of the ideas go back to Ralf Hinze's several papers about generic programming in Haskell

Example: Generic equality

```
equal⟨Unit⟩ Unit Unit      = True
equal⟨a + b⟩ (Inl a1) (Inl a2) = equal⟨a⟩ a1 a2
equal⟨a + b⟩ (Inr b1) (Inr b2) = equal⟨b⟩ b1 b2
equal⟨a + b⟩ _ _            = False
equal⟨a × b⟩ (a1, b1) (a2, b2) = equal⟨a⟩ a1 a2 ∧ equal⟨b⟩ b1 b2
```

- The type arguments are ⟨specially marked⟩.
- Cases are given for a small set of Haskell datatypes:

```
data Unit = Unit
data a + b = Inl a | Inr b
data a × b = (a, b)
```

(Additional constructs deal with constructor names.)

Generic functions

- Generic functions can be specialised to several types.
- Special cases for specific datatypes/constructors are possible.
- Generic functions can “inherit” cases from other generic functions.
- Other examples of generic functions include: mapping, ordering, (de)coding, (un)parsing, generic traversals, operations on type-indexed datatypes.

Implicit versus explicit recursion

Actually, the equality function used to be written differently in Generic Haskell:

$$\begin{aligned} \text{equal}\langle \text{Unit} \rangle \text{Unit} \text{Unit} &= \text{True} \\ \text{equal}\langle + \rangle \text{eq}_a \text{eq}_b (\text{Inl } a_1) (\text{Inl } a_2) &= \text{eq}_a a_1 a_2 \\ \text{equal}\langle + \rangle \text{eq}_a \text{eq}_b (\text{Inr } b_1) (\text{Inr } b_2) &= \text{eq}_b b_1 b_2 \\ \text{equal}\langle + \rangle \text{eq}_a \text{eq}_b _ _ &= \text{False} \\ \text{equal}\langle \times \rangle \text{eq}_a \text{eq}_b (a_1, b_1) (a_2, b_2) &= \text{eq}_a a_1 a_2 \wedge \text{eq}_b b_1 b_2 \end{aligned}$$

- Less intuitive, but more general.
- Type arguments are always simple type constructors.
- *Kind-indexed type*:

$$\begin{aligned} \text{equal}\langle t :: * \rangle &:: t \rightarrow t \rightarrow \text{Bool} \\ \text{equal}\langle t :: * \rightarrow * \rightarrow * \rangle &:: \forall a b. (a \rightarrow a \rightarrow \text{Bool}) \rightarrow (b \rightarrow b \rightarrow \text{Bool}) \\ &\rightarrow t a b \rightarrow t a b \rightarrow \text{Bool} \end{aligned}$$

- Type-level application is replaced by value-level application.

$$\text{equal}\langle f a \rangle = \text{equal}\langle f \rangle (\text{equal}\langle a \rangle)$$

Key idea

**Get the nice syntax of explicit recursion,
but keep all advantages of implicit recursion.**

Explicit recursion, implicit dictionaries

$equal\langle Unit \rangle$	$Unit$	$Unit$	$= True$	
$equal\langle + \rangle$	eq_a	eq_b	$(Inl\ a_1)\ (Inl\ a_2)$	$= eq_a\ a_1\ a_2$
$equal\langle + \rangle$	eq_a	eq_b	$(Inr\ b_1)\ (Inr\ b_2)$	$= eq_b\ b_1\ b_2$
$equal\langle + \rangle$	eq_a	eq_b	$--$	$= False$
$equal\langle \times \rangle$	eq_a	eq_b	$(a_1, b_1)\ (a_2, b_2)$	$= eq_a\ a_1\ a_2 \wedge eq_b\ b_1\ b_2$

Explicit recursion, implicit dictionaries

$equal\langle Unit \rangle\ Unit\ Unit$	$=\ True$
$equal\langle + \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ (Inl\ a_1)\ (Inl\ a_2)$	$=\ equal\langle a \rangle\ a_1\ a_2$
$equal\langle + \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ (Inr\ b_1)\ (Inr\ b_2)$	$=\ equal\langle b \rangle\ b_1\ b_2$
$equal\langle + \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ _ _$	$=\ False$
$equal\langle \times \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ (a_1, b_1)\ (a_2, b_2)$	$=\ equal\langle a \rangle\ a_1\ a_2 \wedge equal\langle b \rangle\ b_1\ b_2$

We rename the dictionary arguments.

Explicit recursion, implicit dictionaries

$equal\langle Unit \rangle\ Unit\ Unit = True$
 $equal\langle a + b \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ (Inl\ a_1)\ (Inl\ a_2) = equal\langle a \rangle\ a_1\ a_2$
 $equal\langle a + b \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ (Inr\ b_1)\ (Inr\ b_2) = equal\langle b \rangle\ b_1\ b_2$
 $equal\langle a + b \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ _ _ = False$
 $equal\langle a \times b \rangle\ equal\langle a \rangle\ equal\langle b \rangle\ (a_1, b_1)\ (a_2, b_2) = equal\langle a \rangle\ a_1\ a_2 \wedge equal\langle b \rangle\ b_1\ b_2$

We add variables to the type arguments.

Explicit recursion, implicit dictionaries

$equal\langle Unit \rangle\ Unit\ Unit$	$=\ True$
$equal\langle a + b \rangle\ \dots\ (Inl\ a_1)\ (Inl\ a_2)$	$=\ equal\langle a \rangle\ a_1\ a_2$
$equal\langle a + b \rangle\ \dots\ (Inr\ b_1)\ (Inr\ b_2)$	$=\ equal\langle b \rangle\ b_1\ b_2$
$equal\langle a + b \rangle\ \dots\ _ _$	$=\ False$
$equal\langle a \times b \rangle\ \dots\ (a_1, b_1)\ (a_2, b_2)$	$=\ equal\langle a \rangle\ a_1\ a_2 \wedge equal\langle b \rangle\ b_1\ b_2$

We forget the dictionary arguments.

- Scoped type variables are in red.
- Looks like the original definition, but is interpreted in the same way as the current implementation.
- Type arguments are type constructors, applied to variables. Always kind $*$.

What about the type?

$equal\langle Int \rangle \quad :: Int \rightarrow Int \rightarrow Bool$

$equal\langle [Char] \rangle \quad :: [Char] \rightarrow [Char] \rightarrow Bool$

For completely specified types of kind $*$, we still have

$equal\langle t :: * \rangle \quad :: t \rightarrow t \rightarrow Bool$

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$equal\langle Tree \rangle \quad :: \text{forbidden!}$

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Let us look at the type for the product case:

$equal\langle a \times b \rangle (a_1, b_1) (a_2, b_2) = equal\langle a \rangle a_1 a_2 \wedge equal\langle b \rangle b_1 b_2$

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$equal\langle a \times b \rangle \quad :: \forall a\ b. a \times b \rightarrow a \times b \rightarrow Bool$

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$equal\langle a \times b \rangle \quad :: \forall a\ b. (equal\langle a \rangle \quad :: a \rightarrow a \rightarrow Bool, equal\langle b \rangle \quad :: b \rightarrow b \rightarrow Bool)$
 $\quad \quad \quad \Rightarrow a \times b \rightarrow a \times b \rightarrow Bool$

What about the type?

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 $\quad \quad \quad \Rightarrow a \times b \rightarrow a \times b \rightarrow Bool$

$equal\langle Tree\ a \rangle \quad :: \forall a. (equal\langle a \rangle \quad :: a \rightarrow a \rightarrow Bool) \Rightarrow Tree\ a \rightarrow Tree\ a \rightarrow Bool$

What about the type?

$equal\langle Int \rangle \quad :: Int \rightarrow Int \rightarrow Bool$
 $equal\langle [Char] \rangle \quad :: [Char] \rightarrow [Char] \rightarrow Bool$

For completely specified types of kind $*$, we still have

$equal\langle t :: * \rangle \quad :: t \rightarrow t \rightarrow Bool$

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$equal\langle a \times b \rangle \quad :: \forall a\ b. (equal\langle a \rangle \quad :: a \rightarrow a \rightarrow Bool, equal\langle b \rangle \quad :: b \rightarrow b \rightarrow Bool)$
 $\quad \quad \quad \Rightarrow a \times b \rightarrow a \times b \rightarrow Bool$

$equal\langle Tree\ a \rangle \quad :: \forall a. (equal\langle a \rangle \quad :: a \rightarrow a \rightarrow Bool) \Rightarrow Tree\ a \rightarrow Tree\ a \rightarrow Bool$

Dictionary arguments reappear as *dependency constraints* in the types!

Satisfying constraints

similar :: Char → Char → Bool
similar a b = toLower a ≡ toLower b

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similar    :: Char → Char → Bool  
similar a b = toLower a ≡ toLower b
```

```
let equal⟨a⟩ = similar  
in equal⟨Tree a⟩  
    :: Tree Char → Tree Char → Bool
```

Satisfying constraints

similar :: Char → Char → Bool
similar a b = toLower a ≡ toLower b

let *equal*⟨*a*⟩ = *similar*
in *equal*⟨*Tree a*⟩
 :: Tree Char → Tree Char → Bool

let *equal*⟨*a*⟩ = *similar*
in *equal*⟨*Pair a b*⟩
 :: ∀b.(*equal*⟨*b*⟩ :: b → b → Bool)
 ⇒ Pair Char b → Pair Char b → Bool

Satisfying constraints

similar :: Char → Char → Bool
similar a b = toLower a ≡ toLower b

let *equal*⟨*a*⟩ = *similar*
in *equal*⟨*Tree a*⟩
 :: Tree Char → Tree Char → Bool

let *equal*⟨*a*⟩ = *similar*
in *equal*⟨*Pair a b*⟩
 :: ∀b.(*equal*⟨*b*⟩ :: b → b → Bool)
 ⇒ Pair Char b → Pair Char b → Bool

let *equal*⟨*a*⟩ = *similar*
in *equal*⟨*Pair a a*⟩
 :: Pair Char Char → Pair Char Char → Bool

Satisfying constraints – continued

```
let equal⟨a⟩ = similar  
in  $\lambda x \rightarrow \text{equal}\langle \text{Tree } a \rangle \text{ Leaf } x$   
     $:: \text{Tree Char} \rightarrow \text{Bool}$ 
```


Satisfying constraints – continued

```
let equal⟨a⟩ = similar  
in λx → equal⟨Tree a⟩ Leaf x  
      :: Tree Char → Bool
```

```
let neqt = not ∘ equal⟨Tree a⟩  
in True  
      :: Bool
```

Satisfying constraints – continued

```
let  $equal\langle a \rangle = similar$   
in  $\lambda x \rightarrow equal\langle Tree\ a \rangle Leaf\ x$   
     $:: Tree\ Char \rightarrow Bool$ 
```

```
let  $neqt = not \circ equal\langle Tree\ a \rangle$   
in  $True$   
     $:: Bool$ 
```

```
let  $neqt = not \circ equal\langle Tree\ a \rangle$   
in (let  $equal\langle a \rangle = True$   
    in  $neqt$   
    , let  $equal\langle a \rangle = similar$   
    in  $neqt$   
    )  
     $:: \forall a. (Tree\ a \rightarrow Tree\ a \rightarrow Bool, Tree\ Char \rightarrow Tree\ Char \rightarrow Bool)$ 
```

Type signatures

The following type signature is sufficient for generic equality:

$$\text{equal}\langle t \rangle :: (\text{generalize } \langle a \rangle a \mapsto (\text{equal}\langle a \rangle :: a \rightarrow a \rightarrow \text{Bool}) \Rightarrow a \rightarrow a \rightarrow \text{Bool}) t$$

Type signatures

The following type signature is sufficient for generic equality:

$$\text{equal}\langle t \rangle :: (\text{generalize } \langle a \rangle a \mapsto (\text{equal}\langle a \rangle :: a \rightarrow a \rightarrow \text{Bool}) \Rightarrow a \rightarrow a \rightarrow \text{Bool}) t$$

- Basic idea: type on kind * plus all dependencies.
- Future work: infer dependency constraints.
- More than one dependency?

Multiple dependencies

$important \langle t \rangle :: (\mathbf{generalize} \langle a \rangle a \mapsto (important \langle a \rangle :: a \rightarrow Bool) \Rightarrow a \rightarrow Bool) t$

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$important\langle t \rangle :: (\mathbf{generalize}\ \langle a \rangle\ a \mapsto (important\langle a \rangle :: a \rightarrow Bool) \Rightarrow a \rightarrow Bool)\ t$

$optshow\langle t \rangle :: (\mathbf{generalize}\ \langle a \rangle\ a \mapsto$
 $(optshow\langle a \rangle :: a \rightarrow String, important\langle a \rangle :: a \rightarrow Bool)$
 $\Rightarrow a \rightarrow String)\ t$

Multiple dependencies

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$optshow\langle t \rangle :: (\mathbf{generalize}\langle a \rangle a \mapsto$
 $(optshow\langle a \rangle :: a \rightarrow String, important\langle a \rangle :: a \rightarrow Bool)$
 $\Rightarrow a \rightarrow String) t$

$optshow\langle a + b \rangle (Inl a) =$
if $important\langle a \rangle a$
then $optshow\langle a \rangle a$
else "..."

Multiple dependencies

$important\langle t \rangle :: (\mathbf{generalize}\ \langle a \rangle\ a \mapsto (important\langle a \rangle :: a \rightarrow Bool) \Rightarrow a \rightarrow Bool)\ t$

$optshow\langle t \rangle :: (\mathbf{generalize}\ \langle a \rangle\ a \mapsto (optshow\langle a \rangle :: a \rightarrow String, important\langle a \rangle :: a \rightarrow Bool) \Rightarrow a \rightarrow String)\ t$

$optshow\langle a + b \rangle\ (Inl\ a) =$
if $important\langle a \rangle\ a$
then $optshow\langle a \rangle\ a$
else "..."

- This is very hard to do without explicit recursion.
- Generic functions with multiple dependencies occur frequently in the context of generic traversals or type-indexed datatypes.

Future possibilities

- Do the same transformation on the type level (for type-indexed data types).
- Allow complex type patterns.
- Allow type patterns of higher kinds.
- Higher-order generic functions.
- Already mentioned: infer dependency constraints in type signatures of generic functions.

Conclusions

- Explicit recursion is simpler to explain and simpler to use.
- Because of the use of dependency constraints, nothing of the generality of the former approach (using implicit recursion) is lost.
- Explicit recursion and dependency constraints combine beautifully with other features of Generic Haskell (default cases, generic abstractions).
- Many other problems seem to become easier to solve once the type patterns contain arguments.
- Generic Haskell is available from

<http://www.generic-haskell.org>

- The type system for explicit recursion is only implemented in a prototype. (Demonstration is possible.)